

## Delivery Instructions

### MAILING ADDRESS:

Penson Financial Services, Inc.  
1700 Pacific Avenue, Suite 1400  
Dallas, TX 75201-7322

### TAX ID#:

56-1673990

### AGENT ID/INSTITUTIONAL:

89331

### NSCC CLEARING INSTRUCTIONS:

NSCC# 0234 PFSI

### INCOMING WIRE INSTRUCTIONS (USD):

(If you use these instructions with a foreign currency, it will automatically be converted to USD)

JP Morgan Chase  
277 Park Ave  
New York, New York 10172  
ABA: 021000021 (for non-domestic wires, use SWIFT Code CHASUS33)  
Acct: 066600030  
FBO: Penson Financial Services  
FFC: Customer Name  
Customer Acct Number

### INCOMING WIRE INSTRUCTIONS (Other than USD):

JP Morgan Chase London  
SORT CODE: 609242  
SWIFT BIC CHASGB2L  
IBAN: USD GB59chas60924222075801  
GBP GB16chas60924222075802  
EUR GB86chas60924222075803  
CAD GB32chas60924222075804  
FBO: Penson Financial Services  
Acct: 0022075801 for USD  
0022075802 for Sterling  
0022075803 for Euro  
0022075804 for Canadian Dollar  
FFC: Customer Name  
Customer Acct Number

Also, e-mail [foreignsettlements@penson.com](mailto:foreignsettlements@penson.com) and inform them to expect the wire.

**DTC INSTRUCTIONS:**

#0234 PFSI  
FAO: Customer Acct #  
N/O: Customer Name

**PHYSICAL INSTRUCTIONS:**

New York Window  
55 Water St, 1ST Floor  
A/C Penson Customer Acct #  
New York, NY 10041

**GNMA INSTRUCTIONS:**

MHBDC/Penson

**FNMA/FREDDIES/US TREAS INSTRUCTIONS:**

ABA 021000021  
J.P. Morgan Chase NYC/Penson

**FOREIGN SECURITIES INSTRUCTIONS:**

Bank of New York – Brussels  
Euroclear # 10161